

U.S. Patent and Trademark Office
U.S. Department of Commerce

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.

8654/2072

Serial No.

10/014,833

Applicant(s): Krissansen et al.

Filing Date: December 11, 2001

Group: 1615

U.S. PATENT DOCUMENTS

Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
W	A	5,863,904	January 26, 1999	Nabel et al.	514	44	September 26, RECEIVED
							JUL 18 2003

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FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Publication Date	Country	Class	Subclass	Translation	
							YES	NO
W	B	EP0385467 A	September 5, 1990	Europe	C07K	9/00		
W	C	EP0326149 A	August 2, 1989	Europe	A61K	45/06		
W	D	EP0488718 A	June 3, 1992	Europe	A61K	39/39		
W	E	DE19721211 A	November 26, 1998	Germany	A61K	45/06	X	
W	F	JP09040690	October 2, 1997	Japan	C07J	71/00	X	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

G	Database WPI, Section CH, Week 199716, Derwent Publications Ltd., London, GB, XP002233615 & JP 09 040690, 10 February 1997
H	McLachlan et al., <u>The Potential of Cyclosporin A as an Anti-Tumor Agent</u> , Int. J. Immun., 1990, V. 12 (5), p 469-479, XP009005663
I	Supplemental European Search Report dated March 18, 2003

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11-28-03

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USPTO Form 1449 U.S. Department of Commerce Patent and Trademark Office		TECH CENTER 1600/2900 Attorney Docket No. 8654/2072		Serial No. 10/014,887				
INFORMATION DISCLOSURE STATEMENT				Applicant(s): Krissansen et al.				
Filing Date: December 11, 2001				Group: 1615				
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Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)	
W	A	5,620,875	April 15, 1997	Hoffman et al.	435	123	February 17, 1995	
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Examiner Initial		Document No.	Publication Date	Country	Class	Subclass	Translation	
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							YES
JY	A.	EP0278176	August 17, 1998	Europe	C07D	311/86	
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)							
	B.	PCT International Search Report for Application No. PCT/NZ00/00098 dated 31 October 2000					
WY	C.	Cao Z. et al. "Thalidomide increases both intra-tumoural tumour necrosis factor- α production and anti-tumour activity in response to 5,6-dimethylxanthenone-4-acetic acid" <u>British Journal of Cancer</u> (1999) volume 80(5/6), pages 716-723.					
	D.	Fujii H. et al. "Vaccination with B7-18 tumor and anti-adhesion therapy with RGD pseudo-peptide (FC-336) efficiently induce anti-metastatic effect" <u>Clinical & Experimental Metastasis</u> (1998) volume 16, pages 141-148.					
	E.	Pedley R.B. et al. "Ablation of colorectal xenografts with combined radioimmunotherapy and tumor blood flow-modifying agents" <u>Cancer Research</u> (1996) volume 56, pages 3293-3300.					
WY	F.	Zitvogel L. "Interleukin-12 and b7.1 co-stimulation cooperate in the induction of effective antitumor immunity and therapy of established tumor" <u>Journal of Immunology</u> (1996) volume 26, pages 1335-13421.					
	G.	Lissoni P. et al. "Neuroimmunotherapy of advanced solid neoplasms with single evening subcutaneous injection of low-dose interleukin-2 and melatonin Preliminary results" <u>European Journal of Cancer</u> (1993) volume 29A(2), pages 185-189.					
	H.	Hornung R.L. et al. "Augmentation of natural killer activity, induction of IFN and development tumor activity during the successful treatment of established tumor murine renal cancer using flavoneacetic acid and IL-2" <u>The Journal of Immunology</u> (1998) volume 141(10), pages 3671-3679					
	I.	Nawrocki S. and Mackiewicz A. "Genetically modified tumour vaccines-where we are today" <u>Cancer Treatment Reviews</u> (1999) volume 25, pages 29-46.					
WY	J.	Thrash-Bingham C.A. and Tartof K.D. "aHIF: A natural antisense transcript overexpressed in human renal cancer during hypoxia" <u>The Journal of the National Cancer Institute</u> (1999) volume 91(2), pages 143-151					
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W	1.	5,817,684	Oct. 6, 1998	Fleisch, et al.	514	381	
W	2.	5,910,505	Jun. 8, 1999	Fleisch, et al.	514	381	
W	3.	5,281,620	Jan. 25, 1994	Denny, et al.	514	455	

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							YES	NO
Up	4.	EP 0 743 064	20 Nov. 1996	European	A61K	31/19		
	5.	WO 94/23753	27 Oct. 1994	PCT	A61K	47/48		
	6.	WO 95/09621	13 April 1995	PCT	A61K	31/195		
	7.	WO 97/34482	23 Sept. 1997	PCT	A01N	43/00		
	8.	WO 98/25600	18 June 1988	PCT	A61K	31/19		
Down	9.	WO 98/42335	1 Oct. 1998	PCT	A61K	31/41		

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W	10.	Wilson, W., Baguley B.; "Combination of the Antivascular Agent DMXAA with Radiation and Chemotherapy", <u>International Journal of Oncology, Biology and Physics</u> , volume 46, number 3, February 1, 2000, abstract 46, page 706.
	11.	Rustin, G.; "Vascular Targeting in the Clinic"; Abstract; <u>ICTR 2000: 1st Int'l Conference on Translational Research A.</u> , 2000.
	12.	Baguley, B.C. et al; "291 mechanisms of Tumor Blood Flow Inhibition by The Antitumour Drug DMXAA (5,6-dimethylxanthenone-4-acetic acid>"; <u>Proceedings of the 11th NCI EORTC AACR Symposium; Copyright © 2000 Stichting NCI-EORTC Symposium on new drugs in cancer therapy</u> ; publ. By the AACR; Published as a Supplement to Clinical Cancer Research, vol 6, November 2000.
	13.	Chaplin, D.J., et al; "Antivascular approaches to solid tumor therapy; evaluation of tubulin binding agents"; <u>Proc. Annu. Meet. Am. Assoc. Cancer Res.</u> , March 1996, vol 37, #3009: 440-441 and Abstract.
Down	14.	Hornung R. L., et al; "Augmentation of Natural Killer Activity, Induction of IFN and Development Tumor Immunity During the Successful Treatment of Established Murine Renal Cancer Using Flavone Acetic Acid and IL-2"; <u>The Journal of Immunology</u> (1988) vol 141(10), pages 3671-3679.

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Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
WY	121.	5,914,340	June 22, 1999	Fleisch et al.	514	381	March 13, 1998
WY	122.	5,977,077	November 2, 1999	Winter et al.	514	23	March 20, 1996
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Examiner Initial		Document No.	Publication Date	Country	Class	Subclass	Translation
							YES
WY	15.	WO 98/42332	1 Oct. 1998	PCT	A61K	31/35	
	16.	WO 98/42336	1 Oct. 1998	PCT	A61K	31/52	
	17.	WO 98/42337	1 Oct. 1998	PCT	A61K	31/41	
	18.	WO 98/42346	1 Oct. 1998	PCT	A61K	31/52	
	19.	WO 98/42650	1 Oct. 1998	PCT	C07C	63/04	
	20.	WO 00/10600 A2	2 March 2000	PCT	A61K	39/00	
WY	21.	WO 00/10600 A3	2 March 2000	PCT	A61K	39/00	
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)							
WY	22.	Thomsen, L.L., et al.; "Nitric Oxide Production in endotoxin-resistant C3H/HeJ mice stimulated with flavone-8-acetic acid and xanthone-4-acetic acid analogues"; <i>Biochem. Pharmacol.</i> , 43(11); pages 2401-2406; 1992.					
	23.	Lash, C.J., et al.; "Enhancement of the anti-tumor effects of the antivascular agent 5,6-dimethylxanthone-4-acetic acid (DMXAA) by combination with 5-hydroxytryptamine and bioreductive drugs"; <i>Br. J. Cancer</i> , 78(4), pages 439-445, 1998.					
	24.	Pedley, R.B., et al.; "Enhancement of antibody-directed enzyme prodrug therapy in colorectal xenografts by an antivascular agent"; <i>Cancer Res.</i> , 59(16), pages 3998-4003, August 15, 1999.					
	25.	Pruijn, F.B., et al.; "Mechanisms of enhancement of the antitumor activity of melphalan by the tumor blood flow inhibitor 5, 6-dimethylxanthone-4-acetic acid"; <i>Cancer Chemother. Pharmacol.</i> , 39(6), pages 541-546, 1997.					
	26.	Rewcastle, et al.; "Potential Antitumor Agents. 58. Synthesis and Structure-Activity Relationships of Substituted Xanthone-4-acetic Acids Active against the Colon 38 Tumor in Vivo"; <i>J. Med. Chem.</i> , 32(4), pages 793-799, 1989.					
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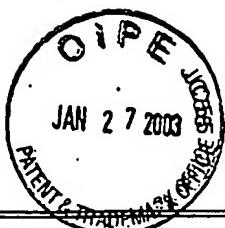
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	123.	5,998,454	December 7, 1999	Fleisch et al.	514	381	March 13, 1998	
WY	124.	U.S. Patent Application Publication No. 2001/0027210	October 4, 2001	Wilson	514	455	January 31, 2001	
FOREIGN PATENT DOCUMENTS								
Examiner Initial		Document No.	Publication Date	Country	Class	Subclass	Translation	
							YES	NO
WY	27.	WO 00/16798	30 March 2000	PCT	A61K	38/28		
	28.	WO 01/34135 A2	17 May 2001	PCT	A61K	31/00		
	29.	WO 01/34137 A2	17 May 2001	PCT	A61K	31/00		
	30.	WO 01/34197 A2	17 May 2001	PCT	A61K	41/00		
	31.	WO 01/34198 A2	17 May 2001	PCT	A61K	41/00		
	32.	WO 02/09700 A1	7 Feb. 2002	PCT	A61K	31/352		
	33.	WO 00/48591	24 Aug. 2000	PCT	A61K	31/198		
WY	34.	EP 0 584 001 A1	29 July 1993	European	A61K	31/335		
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WY	35.	Cliffe, S., et al.; "Combining bioreductive drugs (SR 4233 or SN 23862) with the vasoactive agents flavone acetic acid or 5, 6-dimethylxanthene acetic acid"; Int. J. Radiation Oncology Biol. Phys., 29(2), pages 373-377, 1994.						
	36.	Phillips, R.M. "Inhibition of DT-diaphorase (NAD(P)H:quinone oxidoreductase, EC 1.6.99.2) by 5, 6-dimethylxanthene-4-acetic acid (DMXAA) and flavone-8-acetic acid (FAA): implications for bioreductive drug development"; Biochem. Pharmacol., 58(2), pages 303-310, 1999.						
	37	Ching, L.-M., et al.; "Effect of thalidomide on tumor necrosis factor production and anti-tumor activity induced by 5, 6-dimethylxanthene-4-acetic acid"; Br. J. Cancer, 72(2), pages 339-343, 1995.						
WY	38.	Browne, W.L., et al.; "Suppression of serum tumor necrosis factor- α by thalidomide does not lead to reversal of tumor vascular collapse and anti-tumor activity of 5, 6-dimethylxanthene-4-acetic acid"; Anticancer Res., 18(6A), pages 4409-4414, 1998.						
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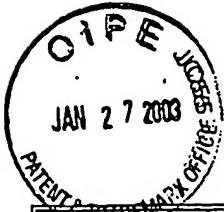
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							YES
W	39.	EP 0 584 001 B1	14 May 1997	European	A61K	31/335	
W	40.	JP 001247459	11 Sept. 2001	Japan	A61K	31/352	
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)							
W	41.	Ching, L.M., et al.; "Interaction of thalidomide, phthalimide analogues of thalidomide and pentoxifylline with the antitumor agent 5, 6-dimethylxanthene-4-acetic acid: concomitant reduction of serum tumor necrosis factor-alpha and enhancement of antitumour activity"; <u>Br. J. Cancer.</u> , 78(3), pages 336-343, 1998.					
	42.	Kestell, P., et al.; "Modulation of the pharmacokinetics of the antitumor agent 5,6-dimethylxanthene-4-acetic acid (DMXAA) in mice by thalidomide"; <u>Cancer Chemother. Pharmacol.</u> , 46(2), pages 135-141, 2000.					
	43.	Cao, Z., et al.; "Thalidomide increases both intra-tumoural tumor necrosis factor-alpha production and anti-tumor activity in response to 5, 6-dimethylxanthene-4-acetic acid"; <u>Br. J. Cancer</u> , 80(5/6), pages 716-723, 1999.					
	44.	Baguley, B.C., et al.; "Serotonin involvement in the antitumour and host effects of flavone-8-acetic acid and 5, 6-dimethylxanthene-4-acetic acid"; <u>Cancer Chemother. Pharmacol.</u> , 33(1), pages 77-81, 1993.					
	45.	Zwi, L.J., et al.; "Correlation between immune and vascular activities of xanthene acetic acid antitumor agents"; <u>Oncol. Res.</u> , 6(2), pages 79-85, 1994.					
W	46.	Zhao, L., et al.; "Effects of the serotonin receptor antagonist cyproheptadine on the activity and pharmacokinetics of 5, 6-dimethylxanthene-4-acetic acid (DMXAA)"; <u>Cancer Chemother. Pharmacol.</u> , 47(6), pages 491-497, 2001.					
W	47.	Futami, H., et al.; "Cytokine induction and therapeutic synergy with interleukin-2 against murine renal and colon cancers by xanthene-4-acetic acid derivatives"; <u>J. Immunother.</u> , 12(4), pages 247-255, 1992.					
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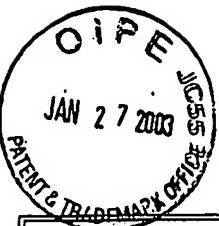
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WY	48.	Ching, L.M., et al.; "Interaction between endotoxin and the antitumour agent 5, 6-dimethylxanthene-4-acetic acid in the induction of tumor necrosis factor and haemorrhagic necrosis of colon 38 tumors"; <u>Cancer Chemother. Pharmacol.</u> , 35(2), pages 153-160, 1994.					
	49.	Ching, L.M., et al.; "Induction of intratumoral tumor necrosis factor (TNF) synthesis and hemorrhagic necrosis by 5, 6-dimethylxanthene-4-acetic acid (DMXAA) in TNF knockout mice"; <u>Cancer Res.</u> , 59(14), pages 3304-3307, 1999.					
	50.	Thomsen, L.L., et al.; "Tumor-dependent increased plasma nitrate concentrations as an indication of the antitumor effect of flavone-8-acetic acid and analogues in mice"; <u>Cancer Res.</u> , 51(1), pages 77-81, 1991.					
	51.	Baguley, et al.; "Evidence that the 5-hydroxytryptamine antagonist, cyproheptadine, modulates nitric oxide production in mice in response to flavone acetic acid, vinblastine and other agents"; <u>Biol. Nitric Oxide, Proc. Int. Meet.</u> ; Meeting Date 1991, Volume 2, (1992); 222-224, 1991.					
	52.	Kanwar, J.R., et al.; "Taking lessons from dendritic cells: Multiple xenogeneic ligands for leukocyte integrins have the potential to stimulate anti-tumor immunity"; <u>Gene Therapy</u> , 6: pages 1835-1844, 1999.					
	53.	Kanwar, J.R., et al.; "Vascular attack by 5, 6-dimethylxanthene-4-acetic acid combined with B7.1-mediated immunotherapy overcomes immune-resistance and leads to the eradication of large tumors"; <u>Cancer Res.</u> , 61(5), pages 1948-1956, 2001.					
WY	54.	Fujii H, et al, "Vaccination with B7-18 tumor and anti-adhesion therapy with RGD pseudo-peptide (FC-336) efficiently induce anti-metastatic effect"; <u>Clinical & Experimental Metastasis</u> , volume 16, pages 141-148, 1998.					
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✓	56.	Lissoni P., et al, "Neuroimmunotherapy of advanced solid neoplasms with single evening subcutaneous injection of low-dose interleukin-2 and melatonin Preliminary results"; <u>European Journal of Cancer</u> , (1993), volume 29A(2), pages 185-189.					
✓	57.	Nawrocki S., and Mackiewicz A., "Genetically modified tumor vaccines-where we are today"; <u>Cancer Treatment Reviews</u> , (1999), volume 25, pages 29-46.					
✓	58.	Thrash-Bingham C. A., and Tartof K. D.; "aHIF: A natural antisense transcript overexpressed in human renal cancer during hypoxia"; <u>The Journal of the National Cancer Institute</u> , (1999), volume 91(2), pages 143-151.					
✓	59.	"Combretastatin Update 1: In Ohio Phase 1 Trial, Some Tumors Respond, Patients Experience Vascular Stress"; <u>PSA Rising; Medical Pike Briefs; Headline Index: Clinical Trial Phase 1 Results</u> ; Nov. 8, 1999.					
✓	60.	Zhou, et al.; "A difference between the rat and mouse in the pharmacokinetic interaction of 5, 6-dimethylxanthenone-4-acetic acid with thalidomide"; <u>Cancer Chemother Pharmacol</u> , (2001), 47(6), 541-544.					
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W	61.	Zhou, et al.; "Determination of unbound concentration of the novel anti-tumor agent 5, 6-dimethylxanthene-4-acetic acid in human plasma by ultrafiltration followed by high-performance liquid chromatography with fluorimetric detection"; <u>J. of Chromatography B</u> ; (2001) 757(2), 359-363.					
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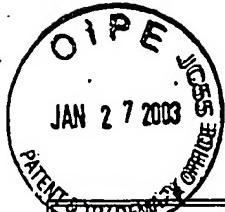
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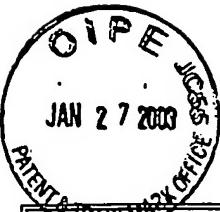


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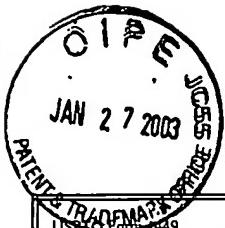
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